

PATENT



22852

PATENT TRADEMARK OFFICE

Customer Number:

Attorney Docket No. 05725.1030-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Kalliope CHRISTOPHIDES-LORDI et al.)
Application No.: New U.S. Patent Application) Group Art Unit: Unassigned
Filed: February 22, 2002) Examiner: Unassigned
For: METHODS FOR MODIFYING THE)
APPEARANCE OF A SUBSTRATE)

J1046 U.S. PRO
10/08066
02/22/02

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents, including any co-pending patent applications, are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

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With respect to the non-English language documents, Applicants submit the following remarks:

1. EP 0 792 636 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
2. EP 0 819 428 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
3. EP 0 997 139 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
4. EP 1 034 776 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
5. FR 2 791 565 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
6. JP 2-295912 - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.
7. WO 94/14413 - An English language abstract of this foreign language document can be found on the title page of this document.

Applicants have enclosed a copy of the priority application's French Search Report for the Examiner's consideration.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

**Attorney Docket No. 05725.1030-00
Application No. Unassigned**

documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By:


Thalia V. Warmement
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Date: February 22, 2002

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)


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|------------------|-------------------------------------|-----------------|------------|
| Atty. Docket No. | 05725.1030-00000 | Application No. | Unassigned |
| Applicant | Kalliope CHRISTOPHIDES-LORDI et al. | | |
| Filing Date | February 22, 2002 | Group: | Unassigned |

U.S. PATENT DOCUMENTS

| Examiner Initial* | | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|--|-----------------|---------------|---------------|-------|-----------|----------------------------|
| 1 | | 5,389,363 | Feb. 14, 1995 | Synder et al. | | | |
| 2 | | 5,518,712 | May 21, 1996 | Stewart | | | |
| 3 | | 6,060,072 | May 9, 2000 | Konik et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
|---|--|-----------------|---------------|---------|-------|-----------|-----------------------|
| 4 | | EP 0 792 636 | Sep. 3, 1997 | Europe | | | Abstract |
| 5 | | EP 0 819 428 | Jan. 21, 1998 | Europe | | | Abstract |
| 6 | | EP 0 997 139 | May 3, 2000 | Europe | | | Abstract |
| 7 | | EP 1 034 776 | Sep. 13, 2000 | Europe | | | Abstract |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
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| 8 | Co-pending Application No. 09/784,210 |
| 9 | |
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| Examiner | Date Considered |
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Examiner Initial* | | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
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| | | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
| | | FR 2 791 565 | Oct. 6, 2000 | France | | | Abstract |
| | | JP 2-295912 | Dec. 6, 1990 | Japan | | | Abstract |
| | | WO 94/14413 | Jul. 7, 1994 | PCT | | | Abstract |
| | | WO 95/19161 | Jul. 20, 1995 | PCT | | | |
| | | WO 97/17057 | May 15, 1997 | PCT | | | |
| | | WO 97/42933 | Nov. 20, 1997 | PCT | | | |
| | | WO 98/16196 | Apr. 23, 1998 | PCT | | | |
| | | WO 99/22710 | May 14, 1999 | PCT | | | |
| | | WO 00/41672 | July 20, 2000 | PCT | | | |

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| Form PTO 1449 | Patent and Trademark Office - U.S. Department of Commerce |